e the Application of:

Serial No.: 10/040,572

Filed: January 4, 2002

Atty. File No.: 4147-23-1

BATHURST et al.

COMPOSITIONS"

"AQUEOUS ANTI-APOPTOTIC

COPY OF PAPERS ORIGINALLY FILED

יבה זם דיוטס ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RF

Group Art Unit: 1651

MAR 1 9 2002

Examiner:

TECH CENTER 1600/2900

INFORMATION DISCLOSURE **STATEMENT**

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE TO COMMISSIONER OF ADDRESSED WASHINGTON, D.C., 20231 ON MARCH 5, 2002

KÉRIDAN ROSS P.C.

Commissioner of Patents Washington, D.C. 20231

Dear Sir:

For:

Pursuant to Applicants' duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicants hereby submit the enclosed PTO Form 1449. The documents identified herein were of record in pending U.S. Patent Application Serial No. 09/479,431, and since the above-identified patent application is a continuation thereof, copies of the identified documents are not being submitted under 37 CFR § 1.98(d). Applicants do not admit that any of such documents, alone or in any combination, are considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicants that each such document is prior art as to the above-identified patent application.

Respectfully submitted,

SHERIDAN ROSS P.C.

Registration No. 32,020

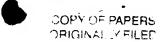
1560 Broadway, Suite 1200

Denver, Colorado 80202-5141

(303) 863-9700

Date: March 5, Voo

OIPE TO	
MAR 1 5 2002	
FORM 1449	=





SHEET __1_ OF __1_

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 4147-23-1

SERIAL NO. 10/040,572

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT

BATHURST et al.

FILING DATE January 4, 2002 GROUP ART

MAR 1 9 200

U.S. PATENT DOCUMENTS

TECH CENTER 1600/290.

*E.KAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP
	AA	6.004,579	12/21/99	BATHURST ET AL.			
	AB 5,635,187 6		6/3/97	BATHURST ET AL.			
	AC	5.075.113	12/24/91	DUBOIS			
	AD	4,530,788	7/23/85	CHANG			

FOREIGN PATENT DOCUMENTS

				SUB CLASS	TRANSLATION	
DOCUMENT NUMBER	DATE	COUNTRY	CLASS		YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AE	Kumazawa et al., 1985, Cancer Immunology Immuntherapy, 19(2):79-84.	
AF	Bathurst et al., 1998, Pharmaceutical Biology, 36(2):111-123.	而 02/20
AG		200
		Щ 🖰 🖺
		<u> </u>

~ <	٨			_	
Eλ	м	IVI	IJМ	c	r

DATE CONSIDERED

*E KAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.